

07-31-00

07/28/00
JC813 U.S. PRO

PATENT

Docket No. YOR9-2000-0265 (1963-4980)

JC813 U.S. PRO
09/628233
07/28/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND FEE TRANSMITTAL (1.53(b))

COMMISSIONER OF PATENTS
Box Patent Application
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

All Inventors

including Address(es): Stephen J. Boies; Sam Dinkin; Paul Andrew Moskowitz; and Philip Shi-Lung Yu;

For: **SYSTEM AND METHOD FOR PROVIDING DECENTRALIZED E-COMMERCE**

Enclosed are

☒ 38 page(s) of specification, 1 page(s) of Abstract, 23 page(s) of claims

☒ 11 sheets of drawing (Figs 1, 2, 3, 4, 5, 6, 7, 8, 9, 10A and 10B) ☒ formal ☐ informal (as originally filed in the parent application)

☒ 2 page(s) of Declaration and Power of Attorney

☒ Unsigned

☐ Newly Executed

☐ Copy from prior application

☐ Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

☐ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

☐ Microfiche Computer Program (Appendix)

☐ ___ page(s) of Sequence Listing

☐ computer readable disk containing Sequence Listing

☐ Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same

☐ Assignment Papers (assignment cover sheet and assignment documents)

☐ Charge Fee(s) \$40.00 for recording the Assignment to Deposit Account No. 13-4500 Order No. . A DUPLICATE COPY OF THIS SHEET IS ATTACHED

☐ Assignment papers filed in parent application Serial No. _____

Express Mail Label No EL632226090US

Express Mail Label No. EL632226090US

- ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).
- ☐ Foreign priority is claimed under 35 U.S.C. § 119 from _____ Patent Application(s) No. _____ dated _____.
- ☐ Priority document(s) will be submitted at a later date.
- ☐ Priority document(s) is/are submitted herewith.
- ☒ Information Disclosure Statement
- ☒ Copy of 7 cited references
- ☐ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☐ This is a ☐ continuation ☐ divisional ☐ continuation-in-part (C-I-P) of prior application serial no. _____.
- ☐ Cancel in this application original claims _____ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- ☐ The status of the parent application is as follows:
- ☐ A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until _____.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☐ No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the patent application to this application.
- ☐ Amend the specification by inserting before the first line the sentence:
This is a ☐ continuation ☐ divisional ☐ continuation-in-part of co-pending application Serial No. _____ filed _____.

I. CALCULATION OF APPLICATION FEE

						Basic Fee
	Number Filed	Number Extra		Rate		\$690.00
Total Claims	51	-20= 31		x \$18.00		\$ 558.00
Independent Claims	21	-3= 18		x \$78.00		\$ 1404.00
Multiple Dependent Claims						
		<input type="checkbox"/> yes	Additional fee	=	\$260.00 \$	\$ -0-
		<input checked="" type="checkbox"/> no	Additional fee	=	NONE	
						Total: \$ 2652.00

- ☐ A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$ _____.
- ☒ A check in the amount of \$2652.00 in payment of the application filing fees is attached.
- ☐ Charge Fee(s) to Deposit Account No. 13-4500 Order No. _____. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 1963-4980. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: July 28, 2000

By: Tod M. Melgar
Registration No. 41190**CORRESPONDENCE ADDRESS:**

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JP013 U.S. PTO
09/628233
07/28/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Stephen J. Boies; Sam Dinkin; Paul Andrew Moskowitz; and Philip Shi-Lung Yu
Serial No. : TBA Group Art Unit: TBA
Filed : July 28, 2000 Examiner: TBA
For : **SYSTEM AND METHOD FOR DECENTRALIZED E-COMMERCE**

EXPRESS MAIL CERTIFICATE

Express Mail Label EL632226090USUS

Date of Deposit July 28, 2000

COMMISSIONER OF PATENTS
Box Patent Application
Washington, D.C. 20231

Sir:

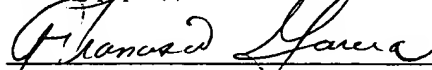
I hereby certify that the following attached paper(s) and/or fee

1. Utility Application and Fee Transmittal (1.53(b)) (in duplicate) (3 sheets);
2. 38 page(s) of Specification, 1 page(s) of Abstract, 23 page(s) of claims, 11 sheet(s) of formal drawings (Figs. 1-9, 10a, 10B); 2 page(s) of Declaration and Power of Attorney
3. Check for \$2652.00;
4. Information Disclosure Statement (in duplicate) (3 sheets);
5. Form PTO-1449 (1 sheet);
6. 7 cited references; and
7. Express Mail Certificate Label No.: EL632226090US

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner Of Patents, Box Patent Application, Washington, D.C. 20231.

Francisco Garcia

(Typed or printed name of person
mailing paper(s) and/or fee)



(Signature of person mailing
paper(s) and/or fee)

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Express Mail No.: EL632226090US



SECTOR \$

Docket No. YOR9-2000-0265(1963-4980)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Stephen J. Boies et al. Group Art Unit: 2761
Serial No. : 09/628,233 Examiner: TBA
Filed : July 28, 2000
For: : SYSTEM AND METHOD FOR PROVIDING DECENTRALIZED E-COMMERCE

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

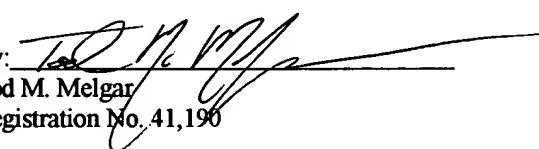
Sir:

I hereby certify that the attached Response to the Notice to File Missing Parts of Application; a copy of Notice to File Missing Parts of Application; Combined Declaration and Power of Attorney (executed, total 2 pages); a check in the amount of \$130.00; Return postcard (along with any paper(s) referred to as being attached or enclosed); and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Box Missing Parts, Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: October 13, 2000

By: 
Tod M. Melgar
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